U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Da	ta Sheet 37 CFR 1.76	Attorney Docket Number	3024-119
Application be	ita officer of of it 1:70	Application Number	
Title of Invention	HIGH EFFICIENCY GENE TE TRANSFECTION PROCEDU	U = =	IN MAMMALIAN CELLS BY A MULTIPLE
bibliographic data arran This document may be	iged in a format specified by the Uni	ited States Patent and Trademark O nitted to the Office in electronic for	being submitted. The following form contains the ffice as outlined in 37 CFR 1.76. rmat using the Electronic Filing System (EFS) or the

Secrecy Order 37 CFR 5.2

Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

Appli	cant Inform	ation:							
Applic	cant 1						Remove		
Applic	cant Authority •	Inventor OL	egal Representativ	ve under 35 l	J.S.C. 11	7	OParty of Interest under 35 U.S	.C. 118	
Prefix	Given Name	l l	Middle Na	Middle Name			ily Name	Suffix	
	Nicolas					Mern	nod		
Resid	ence Informatio	n (Select One)	US Residence	cy No	n US Re	sidency	Active US Military Service	e	
City	Buchillon		Country Of Re	ountry Of Residencei CH					
Citizei	nship under 37 C	FR 1.41(b) i	СН						
Mailin	g Address of Ap	plicant:	1						
Addre	ess 1	Route des De	ux-Communes 19						
Addre	ess 2								
City	Buchillon	L		Stat	e/Provir	nce			
Postal	l Code	1164		Country	СН				
Applic	cant 2			I			Remove		
	ant Authority •	Inventor OL	egal Representativ	ve under 35 l	J.S.C. 11	7	Party of Interest under 35 U.S	C. 118	
Prefix			Middle Na	me		Fam	ily Name	Suffix	
	Pierre Alain					Giroo	d		
Resid	lence Informatio	n (Select One)	US Residend	cy 💿 No	n US Re	L sidency	Active US Military Service	e e	
City	Lausanne		Country Of Re	esidencei	СН				
Citize	nship under 37 C	FR 1.41(b) i							
Mailin	g Address of Ap	plicant:							
Addre	ess 1	Ch. Du Mugue	et 15						
Addre	ess 2								
City	Lausanne			Stat	e/Provir	ıce			
Postal	l Code	1007		Country	СН				
Applic	cant 3						Remove		
	cant Authority ①	Inventor OL	egal Representativ	ve under 35 l	J.S.C. 11	7	Party of Interest under 35 U.S	i.C. 118	
Prefix		I -	Middle Na	me		Fam	ily Name	Suffix	
	Philipp					Buch	ner	+	
Resid	lence Informatio	n (Select One)	US Residence	cy No	n US Re	L sidency	Active US Military Service	e	
City	Lausanne		Country Of Re	esidencei	СН				

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Da	ta Sheet 37 CFR 1.76	Attorney Docket Number	3024-119		
Application Data offeet 37 of K 1.70		Application Number			
Title of Invention	HIGH EFFICIENCY GENE TRANSFER AND EXPRESSION IN MAMMALIAN CELLS BY A MULTIPLI TRANSFECTION PROCEDURE OF MAR SEQUENCES				

		•										
Citizer	nshi	p under 37 C	FR 1.41(b) i								
Mailin	Mailing Address of Applicant:											
Addre	ss 1		Avenue	de Valr	mon	t 18						
Addre	ss 2											
City		Lausanne						State	e/Provin	ıce		
Postal	Co	de	1010				Cou	ntryi	СН			
Applic	ant	4				•					Remove	
Applic	ant	Authority	Inventor	OLe	egal Representative under 35 U.S.C. 1			J.S.C. 11	7	Party of Interest under 35 U.S.	C. 118	
Prefix	Gi	ven Name				Middle Na	me			Famil	ly Name	Suffix
	Dι	c-Quang								Nguye	en	
Resid	enc	e Informatio	n (Select	One)	0	US Residenc	у (• No	n US Res	sidency	Active US Military Service	!
City	Sai	nt Prex			Co	ountry Of Re	siden	icei	СН			
Citizer	nshi	p under 37 C	FR 1.41(b) i	Cŀ	4						
Mailin	g Ad	ldress of Ap	plicant:									
Addre	ss 1		Ch. Des	Etourn	eau	x 24						
Addre	ss 2											
City		Saint Prex						State	e/Provin	nce		
Postal	Co	de	1162				Cou	ntry ⁱ	СН			
Applic	ant	5									Remove	
Applic	ant	Authority	Inventor	OLe	egal Representative under 35 U.S.C. 117 Party of Interest under 35 U.S.C. 118							
Prefix	Gi	ven Name				Middle Name				Famil	Suffix	
	Da	vid			Calabrese							
Resid	enc	e Informatio	n (Select	One)	US Residency Non US Residency Active US Military Service							
City	Lau	sanne			Country Of Residencei CH							
		p under 37 C	•	b) i	Cŀ	Η						
		ldress of Ap	-									
Addre			Pl. du T	unnel 4								
Addre	ss 2											
City		Lausanne				-		State	e/Provin	ıce		
Postal	Co	de	1005				Cou	ntryi	СН			
Applic	ant	6									Remove	
Applic	ant	Authority	Inventor	○Le	egal	Representativ	e unde	er 35 U	J.S.C. 11	7	Party of Interest under 35 U.S.	C. 118
Prefix	Gi	ven Name				Middle Na	me			Famil	ly Name	Suffix
	Da	mien								Saugy	y	
Resid	lenc	e Informatio	n (Select	One)	0	US Residenc	у (• No	n US Res	sidency	Active US Military Service	!
City	Lau	sanne			Co	ountry Of Re	siden	icei	СН			
0:4:	nehi	p under 37 C	FR 1.41(b) i	Cŀ							

PTO/SB/14 (08-05)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Officer the Paperwi	ork Neduction Act of 1	333, 110 pe	- Isons are rec	quired to resp	ind to a cc	Jilection of linor	mation unless it contains a valid OND cor	ill Of Hulliber
Applic	ation Data S	heet 37 CFF	R 1.76	Attorne	ey Docket	Numbe	er 3024-	-119	
Дрис	ation bata c		•	Applica	ation Nun	ber			
Title of I	nvantian i	SH EFFICIENCY ANSFECTION PI						IMALIAN CELLS BY A MULTIPL	.E
Mailing	Address of Ap	plicant:							
Addres	-	Avenue Mon-L	oisir 13						
Addres	s 2								
City	Lausanne				S	ate/Pre	ovince		
Postal (⊢ Code	1006			Countr	yi CH	 - 		
Applica	ent 7							Remove	
Applica	nt Authority ①	Inventor OL	egal Re	presentativ	ve under 3	5 U.S.C	C. 117	Party of Interest under 35 U.S	.C. 118
Prefix	Given Name	·	N	liddle Na	me		Fami	ly Name	Suffix
	Stefania						Puttin	ni	
Reside	nce Informatio	n (Select One)	-	S Residenc			Residency	Active US Military Service	e
City	Lausanne		Coun	try Of Re	esidence	CH			
	ship under 37 C		IT						
	Address of Ap	plicant:	-						
Addres		Avenue Marc-	Dufour 5	50					
Addres	s 2								
City	Lausanne				S	ate/Pro	ovince		
Postal (Code	1007			Countr	yi CH	1		
	entors Must Be ed within this for				Informatio	n bloc	ks may b	e Add	
Corres	spondence	Informatio	on:						
	ither Customer her information		•		responde	nce Inf	formation	section below.	
An	Address is bei	ing provided fo	or the c	orrespo	ndence li	format	tion of this	application.	
Custom	ner Number	46002							
Email A	ddress							Add Email Remove	Email
Applic	ation Infor	mation:							
Title of	the Invention						XPRESSION MAR SEQ	N IN MAMMALIAN CELLS BY A UENCES	
Attorne	y Docket Numb	oer 3024-119				Small	Entity Sta	tus Claimed 🔲	
Applica	tion Type	Nonprovisi	onal						
Subject	t Matter	Utility							
Sugges	ted Class (if ar	ıy)				Sub C	lass (if an	у)	
Sugges	ted Technolog	y Center (if an	y)					1	
Total N	umber of Draw	any)			Sugge	ested Figu	re for Publication (if any)		

Officer the Paper	work Reduction Act of 1995, no per	sons are required to respond to a colle	3024-119	uniess it contains	a valid OIVIB control number.				
Application Data	Sheet 37 CFR 1.76	Attorney Docket Number							
		Application Number							
		RANSFER AND EXPRESSION IRE OF MAR SEQUENCES	N IN MAMMALI	AN CELLS B	Y A MULTIPLE				
Publication Information:									
Request Early Pu	blication (Fee required a	t time of Request 37 CFR 1	.219)						
Request Not to Publish. I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application has not been and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.									
Representative Information: Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Enter either Customer Number or complete the Representative Name section below. If both sections									
are completed the Custo	mer Number will be used fo	r the Representative Information	on during proce	ssing.					
Please Select One:	Customer Numbe	US Patent Practition	ner U	S Representa	tive (37 CFR 11.9)				
Customer Number	46002								
application data sheet co (4), and need not otherw	e applicant to claim benefit onstitutes the specific refere ise be made part of the spe	under 35 U.S.C. 119(e), 120, 7 nce required by 35 U.S.C. 119 cification.		37 CFR 1.78	(a)(2) or CFR 1.78(a)				
Prior Application Sta	-	T D: A II	C N I		move				
Application Number			ation Number		ite (YYYY-MM-DD)				
PCT/EP04/11974	non provisional of	US60513574		2003-10-24	!				
Additional Domestic F the Add button.	Priority Data may be ger	nerated within this form by	selecting	A	Add				
Foreign Priority	Information:								
This section allows for the applicant to claim benefit of foreign priority and to identify any prior foreign application for which priority is not claimed. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(a).									
		·	D 1 00001		move				
Application Number			Date (YYYY-I	VIIVI-DD)	Priority Claimed				
04002722.9	EP	2004-02-06			Yes No				
Additional Foreign Price Add button.	ority Data may be genera	ated within this form by sel	ecting the	A	Add				
Assignee Inform	nation:								
	n in the application data she ssignment recorded in the C	et does not substitute for comp	oliance with any	/ requirement	of part 3 of Title 37				
Assignee 1				Rei	move				
If the Assignee is an C	Organization check here.	√							

Add

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76			Attorney Do	ket Number	3024-1	19	
			Application N	Number			
Title of Invention		EFFICIENCY GENE TI SFECTION PROCEDU			IN MAMM	MALIAN CELLS BY A MULTIPLE	
Organization Name	ELEXIS S.A.						
Mailing Address I	nforma	tion:					
Address 1		18, Chemin des Aub	(
Address 2							
City Plan-les-Ouates				State/Province			
Country CH		•		Postal Code		1228	
Phone Number			Fax Number				

Signature:

button.

Email Address

A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature.								
Signature	/Joyce v. Natzmer/		Date (YYYY-MM-DD)	2006-04-24				
First Name	Joyce	Last Name	von Natzmer	Registration Number	48,120			

Additional Assignee Data may be generated within this form by selecting the Add

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.